

Date of meeting Monday, 11th February, 2019
Time 7.00 pm
Venue Astley Room - Castle House
Contact Geoff Durham



**NEWCASTLE
UNDER LYME**
BOROUGH COUNCIL

Castle House
Barracks Road
Newcastle-under-Lyme
Staffordshire
ST5 1BL

Audit and Standards Committee

AGENDA

PART 1 – OPEN AGENDA

- 1 APOLOGIES**
- 2 MINUTES OF PREVIOUS MEETINGS** (Pages 3 - 6)
To consider the minutes of the previous meeting(s).
- 3 DECLARATIONS OF INTEREST**
To receive Declarations of Interest from Members on items included in the agenda
- 4 CORPORATE RISK MANAGEMENT REPORT FOR THE PERIOD** (Pages 7 - 22)
October to December 2018 (Quarter 3 (Q3))
- 5 INTERNAL AUDIT PROGRESS REPORT - QUARTER 3 2018/19** (Pages 23 - 26)
- 6 QUARTERLY REPORT : ADOPTION OF INTERNAL AUDIT HIGH RISK RECOMMENDATIONS AND SUMMARY OF ASSURANCE** (Pages 27 - 60)
1 OCTOBER TO 31 DECEMBER 2018
- 7 ANNUAL CERTIFICATION LETTER 2017-18** (Pages 61 - 62)
- 8 EXTERNAL AUDIT PLAN 2018-19** (Pages 63 - 78)
- 9 INFORMING THE AUDIT RISK ASSESSMENT FOR** (Pages 79 - 102)
NEWCASTLE UNDER LYME BOROUGH COUNCIL 2018-19
- 10 ARRANGEMENTS WITH STOKE ON TRENT CITY COUNCIL**
A verbal report will be given.
- 11 URGENT BUSINESS**
To consider any business which is urgent within the meaning of Section 100B(4) of the Local Government Act 1972

Members: Councillors P Waring (Chair), S. Dymond (Vice-Chair), S. Pickup, S. Burgess, M. Stubbs, G. Burnett and B. Panter

Members of the Council: If you identify any personal training/development requirements from any of the items included in this agenda or through issues raised during the meeting, please bring them to the attention of the Democratic Services Officer at the close of the meeting.

Meeting Quorums :- 16+= 5 Members; 10-15=4 Members; 5-9=3 Members; 5 or less = 2 Members.

Officers will be in attendance prior to the meeting for informal discussions on agenda items.

NOTE: THERE ARE NO FIRE DRILLS PLANNED FOR THIS EVENING SO IF THE FIRE ALARM DOES SOUND, PLEASE LEAVE THE BUILDING IMMEDIATELY THROUGH THE FIRE EXIT DOORS.

ON EXITING THE BUILDING, PLEASE ASSEMBLE AT THE FRONT OF THE BUILDING BY THE STATUE OF QUEEN VICTORIA. DO NOT RE-ENTER THE BUILDING UNTIL ADVISED TO DO SO.